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Docket No. **DANI-0005USCON** 

### Declaration and Power of Attorney For Patent Application

#### **English Language Declaration**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### METHOD FOR THE DIRECT ESTERIFICATION OF SORBITOL WITH FATTY ACIDS

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<ul> <li>(check one)</li> <li>is attached here</li> <li>★ was filed on Ap</li> <li>Application Num</li> <li>and was amended</li> </ul>	oril 30, 2001 ober <u>09/845,233</u>	as United States Application No	. or PCT International
		(if applicable)	
_		lerstand the contents of the above in mendment referred to above.	dentified specification,
		United States Patent and Trademark	
Section 1.56.  I hereby claim fore Section 365(b) of a any PCT Internatio States, listed below	ign priority benefits un iny foreign application(s anal application which and have also identified certificate or PCT Intern	ty as defined in Title 37, Code of der Title 35, United States Code, s) for patent or inventor's certificate designated at least one country of below, by checking the box, any national application having a filing of	Section 119(a)-(d) or , or Section 365(a) of other than the United foreign application for
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60/159,563	10/15/99
(Application Serial No.)	(Filing Date)
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I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

09/687,908	October 12, 2000	Abandoned
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
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Kevin J. Dunleavy - Registration No. 32,024 John L. Knoble - Registration No. 32,024 Kevin J. Dunleavy - Registration No. 37,009 Jianzhong Shen - Registration No. 48,076

Send Correspondence to: KNOBLE & YOSHIDA, LLC

Eight Penn Center, Suite 1350 1628 John F. Kennedy Blvd. Philadelphia, PA 19103

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Residence 110 Beacon Street, Mountain View, CA 94040	
Citizenship USA	
Post Office Address Same as above.	

Full name of second inventor, if any  George W. Borden	
Second inventor's signature	Date
Residence P.O. Box 304, Bat Cave, NC 28710	
Citizenship USA	
Post Office Address Same as above.	

Full name of third inventor, if any Michael T. Wuesthoff	
Third inventor's signature	Date
Residence 8 Monticello Court, Gales Ferry, CT 06335	
Citizenship USA	••
Post Office Address Same as above.	
Full name of fourth inventor, if any  Gary J. Flynn	
Fourth inventor's signature	Date
Residence 2 Hillside Drive, Mystic, CT 06355	
Citizenship USA	
Post Office Address Same as above.	*
Full name of fifth inventor, if any  Sames M. Anderson  Fifth inventor's signature	Date
James M. Anderson	Date
James M. Anderson Fifth inventor's signature Residence	Date
James M. Anderson  Fifth inventor's signature  Residence  11 Chambers Road, Danbury, CT 06811  Citizenship	Date
James M. Anderson  Fifth inventor's signature  Residence  I1 Chambers Road, Danbury, CT 06811  Citizenship  USA  Post Office Address	Date
James M. Anderson  Fifth inventor's signature  Residence  I1 Chambers Road, Danbury, CT 06811  Citizenship  USA  Post Office Address	Date
James M. Anderson  Fifth inventor's signature  Residence  11 Chambers Road, Danbury, CT 06811  Citizenship  USA  Post Office Address  Same as above.  Full name of sixth inventor, if any	Date
James M. Anderson  Fifth inventor's signature  Residence  11 Chambers Road, Danbury, CT 06811  Sitizenship  USA  Post Office Address  Same as above.  Full name of sixth inventor, if any  John Teixeira	
James M. Anderson  Fifth inventor's signature  Residence  11 Chambers Road, Danbury, CT 06811  Citizenship  USA  Post Office Address  Same as above.  Full name of sixth inventor, if any  John Teixeira  Sixth inventor's signature  Residence	

Seventh inventor's signature	
,	Date
Residence 29668 Environ Circle, Lake Bluff, IL 60044	
Citizenship	
JSA	
Post Office Address Same as above.	
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Docket No. DANI-0005USCON

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the specification of	which		
(check one)			
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was filed on Ap	pril 30, 2001	as United States Application No	o. or PCT International
Application Num	nber 09/845,233		
and was amend	ed on		
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		nderstand the contents of the above in amendment referred to above.	dentified specification
known to me to be Section 1.56.	material to patentab	United States Patent and Trademark illity as defined in Title 37, Code of ander Title 35, United States Code.	Federal Regulations
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application(s) listed below:

Kevin J. Dunleavy - Registration No. 32,024 John L. Knoble - Registration No. 32,024 Kevin J. Dunleavy - Registration No. 37,009 Jianzhong Shen - Registration No. 48,076

Send Correspondence to: KNOBLE & YOSHIDA, LLC

Eight Penn Center, Suite 1350 1628 John F. Kennedy Blvd. Philadelphia, PA 19103

Direct Telephone Calls to: (name and telephone number)

Full name of sole or first inventor  Paul McGrane	
Sole or first inventor's signature	Date
Residence 110 Beacon Street, Mountain View, CA 94040	
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Second inventor's signature	D
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Citizenship USA	
Post Office Address Same as above.	

Full name of third inventor, if any Michael T. Wuesthoff	
Third inventor's signature	Oate
Residence 8 Monticello Court, Gales Ferry, CT 06335	
Citizenship USA	
Post Office Address Same as above.	**
Same as above.	
Full name of fourth inventor, if any	
Gary J. Flynn	Date
Fourth inventor's signature	- Jale .
Residence 2 Hillside Drive, Mystic, CT 06355	
Citizenship USA	
Post Office Address Same as above.	
Full name of fifth inventor, if any  James M. Anderson  Fifth inventor's signature	Date
James M. Anderson  Fifth inventor's signature  M. All	)//2/0 /
James M. Anderson  Fifth inventor's signature  Residence  Own T. A. T. A	11/2/01
Fifth inventor's signature  Residence  41 Chambers Road, Danbury, CT Ught  Citizenship	11/2/01
Fifth inventor's signature M, All	///2/01 EWMILFORD, CT 067
James M. Anderson  Fifth inventor's signature  Residence  41 Chambers Road, Danbury, CT ogs11  Citizenship  USA  Post Office Address	///2/01 EWMILFORD, CT 067
James M. Anderson  Fifth inventor's signature  Residence  41 Chambers Road, Danbury, CT ogs11  Citizenship  USA  Post Office Address	///2/01 EWMILFORD, CT 067
James M. Anderson  Fifth inventor's signature  Residence  41 Chambers Road, Danbury, CT ogs11  Citizenship  USA  Post Office Address	///2/01 EWMILFORD, CT 067
James M. Anderson  Fifth inventor's signature  Residence  41 Chambers Road, Danbury, CT Ugiti  H ELM ST, APT 4, Ne  Citizenship  USA  Post Office Address  Same as above. P.O. Box 2, KENT, CT 06757  Full name of sixth inventor, if any  John Teixeira	///2/01 EWMILFORD, CT 067
James M. Anderson  Fifth inventor's signature  M. M. ST, APT 4, NE  Citizenship  USA  Post Office Address  Same as above. P.O. Box 2, KENT, CT 06757  Full name of sixth inventor, if any  John Teixeira  Sixth inventor's signature  Residence	//2/01 EWMILFORD, CT 067
James M. Anderson  Fifth inventor's signature  Residence  H Chambers Road, Danbury, CT 00511 H ELM ST, APT 4, NE  Citizenship  USA  Post Office Address  Same as above. P.O. Box 2, KENT, CT 06757  Full name of sixth inventor, if any	//2/01 EWMILFORD, CT 067

Pankaj Shah	D-4-
Seventh inventor's signature	Date
Residence 29668 Environ Circle, Lake Bluff, IL 60044	
Citizenship	
USA	
Post Office Address Same as above.	
Saint as above	***************************************
full name of eighth inventor, if any	
ighth inventor's signature	Date
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(check one)			
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Direct Telephone Calls to: (name and telephone number)

Full name of sole or first inventor  Paul McGrane	
Sole or first inventor's signature	Date
Residence 110 Beacon Street, Mountain View, CA 94040	
Citizenship USA	
Post Office Address Same as above.	

Second inventor's signature	Date
Residence P.O. Box 304, Bat Cave, NC 28710	
Citizenship USA	
Post Office Address Same as above.	

Third inventor's signature	" avent	Nov. 5, 2001
Residence  3 Monticello Court, Gales Ferry, CT 0		
Citizenship USA		
Post Office Address Same as above.		

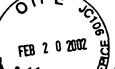
Gary J. Flynn	
Fourth inventor's signature	Date
Residence 2 Hillside Drive, Mystic, CT 06355	
Citizenship USA	
Post Office Address Same as above.	

Fifth inventor's signature	Date
Residence 41 Chambers Road, Danbury, CT 06811	
Citizenship USA	
Post Office Address Same as above.	

Sixth inventor's signature	Date
Residence 44 Whitney Road, Mystic, CT 06355	
Citizenship USA	
Post Office Address Same as above.	

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esidence 9668 Environ Circle, Lake Bluff, IL 60044		
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(Status)

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application(s) listed below:

(Application Serial No.)

Kevin J. Dunleavy - Registration No. 32,024 John L. Knoble - Registration No. 32,024 Kevin J. Dunleavy - Registration No. 37,009 Jianzhong Shen - Registration No. 48,076

Send Correspondence to: KNOBLE & YOSHIDA, LLC

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Second inventor's signature	Date
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Citizenship USA	
Post Office Address Same as above.	

full name of third inventor, if any Michael T. Wuesthoff	
hird inventor's signature	Date
Residence B Monticello Court, Gales Ferry, CT 06335	
Citizenship USA	
Post Office Address Same as above.	
ull name of fourth inventor, if any Gary J. Flynn	
ourth inventor's signature	Date
esidence Hillside Drive, Mystic, CT 06355	
itizenship USA	
ost Office Address ame as above.	
ull name of fifth inventor, if any ames M. Anderson	
ifth inventor's signature	Date
esidence 1 Chambers Road, Danbury, CT 06811	
itizenship ISA	
ost Office Address ame as above.	
i e	
ull name of sixth inventor, if any	
ixth inventors agnature from the first of th	Date 1/8/01
esidence 1 4 Whitney Road, Mystic, CT 06355	

Full name of seventh inventor, if any Pankaj Shah	
Seventh inventor's signature	Date
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Citizenship	
USA Post Office Address	
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Full name of eighth inventor, if any	
ighth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	
ull name of ninth inventor, if any	
linth inventor's signature	Date
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ORIGINALLY FILED FEB 2 0 2002

Docket No. DANI-0005USCON

#### Declaration and Power of Attorney **⋠**or Patent Application

#### **English Language Declaration**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD FOR THE D	IRECT ESTERIFICAT	TION OF SORBITOL WITH FATTY ACI	DS
the specification of w	hich		
(check one)			
☐ is attached hereto	).		
was filed on Apri	1 30, 2001	as United States Application No	or PCT International
Application Numb	er <u>09/845,233</u>		•
and was amended	d on		·
		(if applicable)	
•		nderstand the contents of the above is amendment referred to above.	dentified specification,
		United States Patent and Trademark bility as defined in Title 37, Code of	
Section 365(b) of an any PCT Internation States, listed below a	y foreign application al application which and have also identi ertificate or PCT Int	under Title 35, United States Code, n(s) for patent or inventor's certificated designated at least one country of the below, by checking the box, any ernational application having a filing of	o, or Section 365(a) of other than the United foreign application for
Prior Foreign Applicat	tion(s)		Priority Not Claimed
			0
(Number)	(Country)	(Day/Month/Year Filed)	
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(Number)	(Country)	(Day/Month/Year Filed)	
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I hereby claim the bapplication(s) listed be		under	35	U.S.C.	Section	119(e)	of	any	United	States	provisional
60/159,563				10	/15/99	<del>_</del>					
(Application Seria	l No.)			(Fili	ng Date)						

(Filing Date)

(Application Serial No.) (Filing Date)

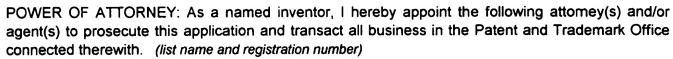
(Application Serial No.)

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09/687,908	October 12, 2000	Abandoned
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
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(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

-



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George W. Borden	
Second inventor's signature	Date
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Citizenship USA	
Post Office Address Same as above.	

Citizenship USA

Post Office Address
Same as above.

Page 4 of 5

Il name of third inventor, if any	
ichael T. Wuesthoff	Date
nurd inventor's signature	
esidence	
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tizenship SA	
ost Office Address	
ame as above.	
ull name of fourth inventor, if any  Sary J. Flyon	Deta
ourth invelopr's signature	11/15/01
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Alizenship JSA	
ost Office Address ame as above.	•
ull name of fifth Inventor, if any lames M. Anderson	•
itth inventor's signature	Date
Residence 11 Chambers Road, Danbury, CT 06811	
itizenship	
USA	
Post Office Address	
Same as above.	
Full name of sadh inventor, If any  John Teixeira	
Shifth inventor's alignature	Date

**COPY OF PAPERS ORIGINALLY FILED**  FEB 2 0 2002

Docket No. **DANI-0005USCON** 

# Declaration and Power of Attorney For Patent Application

#### **English Language Declaration**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### METHOD FOR THE DIRECT ESTERIFICATION OF SORBITOL WITH FATTY ACIDS

(check one)			
☐ is attached herete	<b>o</b> .		
was filed on Apr	il 30, 2001	as United States Application No.	or PCT International
Application Numb	oer <u>09/845,233</u>		
and was amende	d on		
		(if applicable)	
•		understand the contents of the above id amendment referred to above.	entified specification
		e United States Patent and Trademark	
Section 1.56.			•
Section 1.56.  I hereby claim foreight Section 365(b) of an any PCT Internation States, listed below patent or inventor's of the section of t	gn priority benefits by foreign application al application whice and have also ident certificate or PCT In	under Title 35, United States Code, Son(s) for patent or inventor's certificate, the designated at least one country of tified below, by checking the box, any forternational application having a filing designation.	Section 119(a)-(d) o or Section 365(a) o ther than the United oreign application fo
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60/159,563	10/15/99
Application Serial No.)	(Filing Date)
(Application Serial No.)	(Filing Date)
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

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Second inventor's signature		Date
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Citizenship USA		
Post Office Address	,	

Full name of third inventor, if any	
Michael T. Wuesthoff Third inventor's signature	Date
Tillio inveltoi s signature	54.5
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USA	• •
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Fourth inventor's signature	Date
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Full name of fifth inventor, if any	
James M. Anderson	Date
	Date
James M. Anderson Fifth inventor's signature Residence	Date
James M. Anderson Fifth inventor's signature Residence 41 Chambers Road, Danbury, CT 06811	Date
James M. Anderson Fifth inventor's signature Residence	Date
James M. Anderson  Fifth inventor's signature  Residence 41 Chambers Road, Danbury, CT 06811  Citizenship USA  Post Office Address	Date
James M. Anderson  Fifth inventor's signature  Residence 41 Chambers Road, Danbury, CT 06811  Citizenship USA	Date
James M. Anderson  Fifth inventor's signature  Residence 41 Chambers Road, Danbury, CT 06811  Citizenship USA  Post Office Address	Date
James M. Anderson  Fifth inventor's signature  Residence 41 Chambers Road, Danbury, CT 06811  Citizenship USA  Post Office Address Same as above.  Full name of sixth inventor, if any	Date
James M. Anderson  Fifth inventor's signature  Residence 41 Chambers Road, Danbury, CT 06811  Citizenship  USA  Post Office Address Same as above.  Full name of sixth inventor, if any  John Teixeira	Date
James M. Anderson  Fifth inventor's signature  Residence 41 Chambers Road, Danbury, CT 06811  Citizenship  USA  Post Office Address  Same as above.  Full name of sixth inventor, if any  John Teixeira	
James M. Anderson  Fifth inventor's signature  Residence 41 Chambers Road, Danbury, CT 06811  Citizenship USA  Post Office Address Same as above.  Full name of sixth inventor, if any John Teixeira  Sixth inventor's signature  Residence 44 Whitney Road, Mystic, CT 06355	
James M. Anderson Fifth inventor's signature  Residence 41 Chambers Road, Danbury, CT 06811 Citizenship USA Post Office Address Same as above.  Full name of sixth inventor, if any John Teixeira Sixth inventor's signature  Residence 44 Whitney Road, Mystic, CT 06355	

Seventh inventor's signature	0		Date
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Citizenship JSA			
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full name of eighth inventor, if any			
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Residence	- W.		
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Docket No. **DANI-0005USCON** 

### Declaration and Power of Attorney For Patent Application

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#### METHOD FOR THE DIRECT ESTERIFICATION OF SORBITOL WITH FATTY ACIDS

(check one)			
☐ is attached here  ■ was filed on A  Application Num  and was amend	pril 30, 2001 nber 09/845,233	as United States Application No	or PCT International
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•		erstand the contents of the above in mendment referred to above.	dentified specification,
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known to me to be Section 1.56.	e matenar to patentabili	y as defined in Title 37, code of	r ederal rregulations,
Section 1.56.  I hereby claim fore Section 365(b) of a any PCT Internation States, listed below patent or inventor's	eign priority benefits und any foreign application(s onal application which o v and have also identifie	der Title 35, United States Code, ) for patent or inventor's certificate designated at least one country of d below, by checking the box, any national application having a filing of	Section 119(a)-(d) or , or Section 365(a) of other than the United foreign application for
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Second inventor's signature  Second W, Boulem	11/7/2001 Date
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Full name of third inventor, if any Michael T. Wuesthoff	
Third inventor's signature	Date
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Full name of fourth inventor, if any  Gary J. Flynn	
Fourth inventor's signature	Date
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Post Office Address Same as above.	
Full name of fifth inventor, if any	
James M. Anderson	Date
James M. Anderson Fifth inventor's signature	Date
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Pankaj Shah	Date
eventh inventor's signature	Date
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ull name of eighth inventor, if any	
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